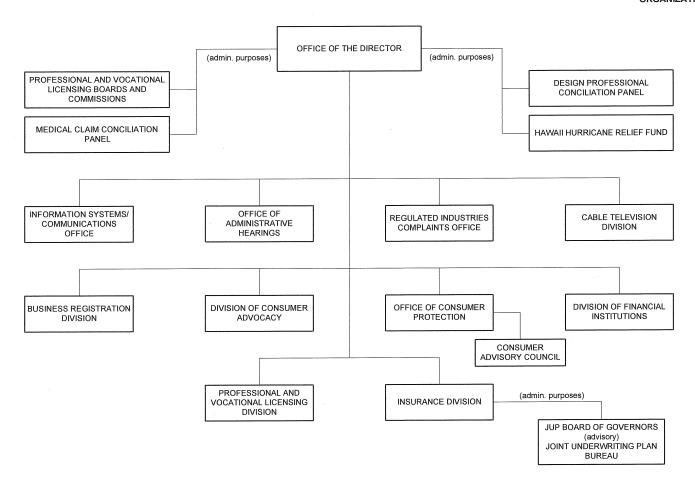


STATE OF HAWAII DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS ORGANIZATION CHART



DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS MAJOR FUNCTIONS

- Develops standards relating to the licensing of and general supervision over the conduct of the financial services industry, professions, businesses, trades, and insurance companies.
- Grants or denies the issuance of professional, business and trade licenses; directs investigations, holds hearings, and suspends, revokes or reinstates licenses; makes, amends or repeals such rules and regulations deemed necessary to fully effectuate the provisions of the laws within the Department's scope and jurisdiction.
- Represents, protects, and advances the interest of consumers of utility services; conducts investigations; assists and cooperates with Federal, State, and local agencies to protect the consumer's interests in the public utilities fields.

- Coordinates consumer protection activities in the State; conducts investigations, research, and enforces laws, rules, and regulations in the area of consumer protection; provides consumer education services and programs.
- Administers the laws of the State relating to corporations; partnerships; sales of securities; registration of trademarks, tradenames, prints and labels; miscellaneous business registrations; financial services industry; the insurance industry; and provides advice on business formation.
- Ensures that subscribers are provided with cable communication services which meet acceptable standards of quality, dependability, and fair rates; establishes technical standards of performances; maintains surveillance over filed rates, charges, terms, and conditions of services; and monitors the operations and management of cable television operators.

MAJOR PROGRAM AREAS

The Department of Commerce and Consumer Affairs has programs in the following major program areas:

Individual I	Kights	Consumer Protection				
Consumer Pro	otection/Business Regulation	CCA 103	Consumer Advocate for Communication,			
CCA 102	Cable Television		Utilities, and Transportation Services			
CCA 104	Financial Institution Services	CCA 110	Office of Consumer Protection			
CCA 105	Professional and Vocational Licensing	CCA 112	Regulated Industries Complaints Office			
CCA 106	Insurance Regulatory Services	General Supp	<u>port</u>			
CCA 111	Business Registration and Securities Regulation	CCA 191	General Support			

DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS Department Summary

Mission Statement

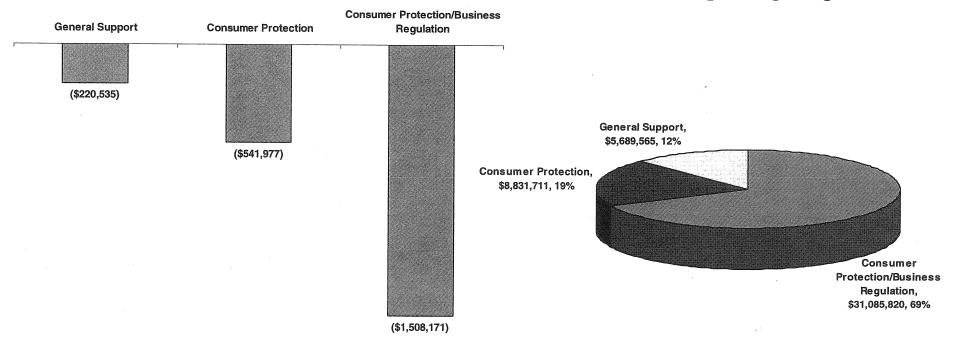
To fairly regulate business, while ensuring consumer protection in commercial transactions in Hawaii.

Department Goals

To develop, promote, and implement rational business regulation; to consider the public interest and increase the opportunity for public involvement in the regulatory process; and to ensure fairness in the conduct of administrative hearings that address decisions made by department regulators.

FY 2011 Supplemental Operating Budget Adjustments by Major Program

FY 2011 Supplemental Operating Budget



Department of Commerce and Consumer Affairs Operating Budget

		Act 162/2009 FY 2010	Act 162/2009 FY 2011	Budget Submittal	Difference (Target - Submittal)
Funding Sources:	Positions Perm	379.00	378.00	-4.00	374.00
	Temp	32.00	32.00	0.00	32.00
Special Funds	\$	45,609,147	45,565,787	-2,219,216	43,346,571
	Perm	5.00	5.00	0.00	5.00
	Temp	6.00	6.00	0.00	6.00
Trust Funds	\$_	2,331,992	2,311,992	-51,467	2,260,525
	Perm	384.00	383.00	-4.00	379.00
	Temp	38.00	38.00	0.00	38.00
Total Requirements	\$	47,941,139	47,877,779	-2,270,683	45,607,096

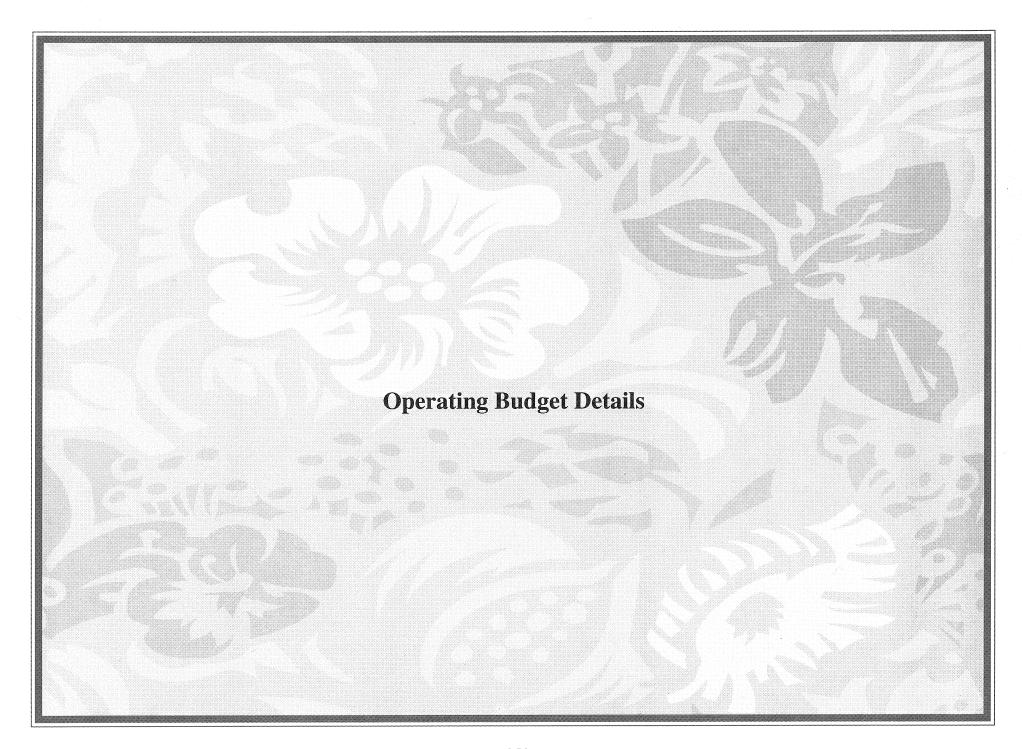
Comments: (general funds unless otherwise noted)

- 1. Did not meet the targeted special and trust fund reductions.
- 2. Abolished vacant positions before reduction in force deleted 4.00 permanent positions and reduced \$295,524 in special funds.
- 3. Met target reductions for furlough savings by reducing \$2,156,349 in special funds and \$51,467 in trust funds.

Department of Commerce and Consumer Affairs Capital Improvements Budget

	Act 162/2009 FY 2010	Act 162/2009 FY 2011		FY 2010 Adjustments		FY 2011 Adjustments	Total FY 2010		Total FY 2011	
Funding Sources:							VICEOCOCCOCCOCCOCCOCCOCCOCCOCCOCCOCCOCCOCC			
General Obligation Bonds	0		0	•	0	0		0		0
Federal Funds	0		0	-	0	0		0		0
Total Requirements	0		0		0	0		0		0

Comments on Dept CIP Budget Request: (general obligation bonds unless otherwise noted) None.



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PROGRAM ID:

CCA-

PROGRAM STRUCTURE NO: 10

PROGRAM TITLE:

INDIVIDUAL RIGHTS

		FY 2010			FY 2011		BIENNIU	JM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	384.00* 32,117,956 15,823,183	*	384.00* 32,117,956 15,823,183	383.00* 32,074,596 15,803,183	-4.00* 2,516,864- 246,181	379.00* 29,557,732 16,049,364	64,192,552 31,626,366	* 61,675,688 31,872,547	*
TOTAL OPERATING COST	47,941,139		47,941,139	47,877,779	2,270,683-	45,607,096	95,818,918	93,548,235	2.37-
BY MEANS OF FINANCING						•			
SPECIAL FUND	379.00* 45,609,147	*	379.00* 45,609,147	378.00* 45,565,787	-4.00* -2,219,216	374.00* 43,346,571	* 91,174,934	* 88,955,718	*
TRUCK FUNDS	5.00*	*	5.00*	5.00*	*	5.00*	*	*	*
TRUST FUNDS	2,331,992		2,331,992	2,311,992	51,467-	2,260,525 ¦	4,643,984	4,592,517	
TOTAL POSITIONS	384.00*	*	384.00*	383.00*	-4.00*	379.00*			
TOTAL PROGRAM COST	47,941,139		47,941,139	47,877,779	2,270,683-	45,607,096	95,818,918	93,548,235	2.37-

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PROGRAM ID:

CCA-

PROGRAM STRUCTURE NO: 1001

PROGRAM TITLE:

PROTECTION OF THE CONSUMER

		FY 2010			FY 2011		BIENNIU	JM TOTALS	
PROGRAM COSTS	CURRENT Apprn	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	384.00* 32,117,956 15,823,183	*	384.00* 32,117,956 15,823,183	383.00* 32,074,596 15,803,183	-4.00* 2,516,864- 246,181	379.00* 29,557,732 16,049,364	64,192,552 31,626,366	61,675,688 31,872,547	: *
TOTAL OPERATING COST	47,941,139		47,941,139	47,877,779	2,270,683-	45,607,096	95,818,918	93,548,235	2.37-
BY MEANS OF FINANCING			·			·			
<u>.</u>	379.00*	*	379.00*¦	378.00*	-4.00*	374.00*	*	*	*
SPECIAL FUND	45,609,147		45,609,147	45,565,787	2,219,216-	43,346,571	91,174,934	88,955,718	
	5.00*	*	5.00*	5.00*	*	5.00*	*	*	*
TRUST FUNDS	2,331,992		2,331,992	2,311,992	51,467-	2,260,525	4,643,984	4,592,517	
TOTAL POSITIONS	384.00*	*	384.00*	383.00*	-4.00*	379.00*			
TOTAL PROGRAM COST	47,941,139 ====================================		47,941,139	47,877,779	2,270,683-	45,607,096	95,818,918	93,548,235	2.37-

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PROGRAM ID:

CCA-

PROGRAM STRUCTURE NO: 100103

PROGRAM TITLE:

REGULATION OF SERVICES

CURRENT RECOMMEND CURRENT RECOMMEND APPRN ADJUSTMENT APPRN APPRN ADJUSTMENT APPRN			FY 2010	.		FY 2011		BIENNIU	JM TOTALS	
PERSONAL SERVICES 16,256,339 16,256,339 16,256,339 1,175,079- 15,081,260 32,512,678 31,337,599 OTH CURRENT EXPENSES 11,817,132 11,817,132 11,797,132 46,181 11,843,313 23,614,264 23,660,445 TOTAL OPERATING COST 28,073,471 28,073,471 28,053,471 1,128,898- 26,924,573 56,126,942 54,998,044 SPECIAL FUND 25,792,160 25,792,160 25,792,160 1,077,431- 24,714,729 51,584,320 50,506,889 5.00*	PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT			ADJUSTMENT		CURRENT	RECOMMEND	PERCENT CHANGE
BY MEANS OF FINANCING 183.00* * 183.00* -1.00* 182.00* * * * SPECIAL FUND 25,792,160 25,792,160 1,077,431- 24,714,729 51,584,320 50,506,889 5.00* * 5.00* * 5.00* * 5.00* * 5.00* * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00* * * 5.00	PERSONAL SERVICES	16,256,339	*	16,256,339	16,256,339	1,175,079-	15,081,260	, ,		*
183.00* * 183.00*	TOTAL OPERATING COST	28,073,471		28,073,471	28,053,471	1,128,898-	26,924,573	56,126,942	54,998,044	2.01-
SPECIAL FUND 25,792,160 25,792,160 1,077,431— 24,714,729 51,584,320 50,506,889 5.00* * 5.00* * 5.00* * 5.00* TRUST FUNDS 2,281,311 2,281,311 2,261,311 51,467— 2,209,844 4,542,622 4,491,155 TOTAL POSITIONS 188.00* * 188.00* 188.00* -1.00* 187.00*	BY MEANS OF FINANCING			·			·			
TRUST FUNDS 2,281,311 2,281,311 2,261,311 51,467- 2,209,844 4,542,622 4,491,155 TOTAL POSITIONS 188.00* * 188.00* 188.00* -1.00* 187.00*	SPECIAL FUND	25,792,160	*	25,792,160	25,792,160			* 51,584,320	* 50,506,889	*
TOTAL DROCKIN COST	TRUST FUNDS	-	*			* 51,467-	_	* 4,542,622	* 4,491,155	*
TOTAL PROGRAM COST 28,073,471 28,073,471 28,053,471 1,128,898- 26,924,573 56.126,942 54,998,044			*	188.00*	188.00*	-1.00*	187.00*			
	TOTAL PROGRAM COST	28,073,471		28,073,471	28,053,471	1,128,898-	26,924,573	56,126,942	54,998,044	2.01-

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PROGRAM ID:

CCA-102

PROGRAM STRUCTURE NO: 10010301

PROGRAM TITLE:

CABLE TELEVISION

		FY 2010			FY 2011		BIENNIU	JM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	4.00* 430,175 856,362	*	4.00* 430,175 856,362	4.00* 430,175 856,362	* 33,249-	4.00* 396,926 856,362	860,350 1,712,724	**************************************	* *
TOTAL OPERATING COST	1,286,537		1,286,537	1,286,537	33,249-	1,253,288	2,573,074	2,539,825	1.29-
BY MEANS OF FINANCING									
SPECIAL FUND	4.00* 1,286,537	*	4.00*¦ 1,286,537 ¦	4.00* 1,286,537	* 33,249-	4.00*¦ 1,253,288 ¦	* 2,573,074	2,539,825	*
TOTAL POSITIONS TOTAL PROGRAM COST	4.00* 1,286,537	*	4.00* 1,286,537	4.00* 1,286,537	* 33,249-	4.00* 1,253,288	2,573,074	2,539,825	1.29-
							=======================================		

Program ID: CCA-102

Program Structure Level: 10 01 03 01 Program Title: CABLE TELEVISION

A. Program Objective

To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable State and Federal law; expand and operate the Statewide Institutional Network ("INET"); and continue the availability of Public, Education and Government ("PEG") cable access.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

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PROGRAM ID:

CCA-103

PROGRAM TITLE:

PROGRAM STRUCTURE NO: 10010302

CONSUMER ADVOCATE FOR COMM, UTIL & TRAN SVC

		FY 2010			FY 2011		BIENNI	UM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	15.00* 1,277,619 891,712	*	15.00* 1,277,619 891,712	15.00* 1,277,619 891,712	* 81,449-	15.00* 1,196,170 891,712	2,555,238 1,783,424	2,473,789 1,783,424	 * *
TOTAL OPERATING COST	2,169,331		2,169,331	2,169,331	81,449-	2,087,882	4,338,662	4,257,213	1.88-
BY MEANS OF FINANCING									
SPECIAL FUND	15.00* 2,169,331	*	15.00* 2,169,331 ¦	15.00* 2,169,331	* 81,449-	15.00*¦ 2,087,882 ¦	* 4,338,662	4,257,213	*
TOTAL POSITIONS TOTAL PROGRAM COST	15.00* 2,169,331 ===================================	*	15.00* 2,169,331 =======	15.00* 2,169,331	* 81,449-	15.00* 2,087,882	4,338,662	4,257,213	1.88-

Program ID: CCA-103

Program Structure Level: 10 01 03 02

Program Title: CONSUMER ADVOCATE FOR COMMUNICATIONS, UTIL & TRANSPORTATION SVCS

A. Program Objective

To ensure sustainable, reliable, safe, and quality communications, utility and transportation services at fair cost for Hawaii's consumers for the short and long term through advocacy, education, and long-range planning.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

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PROGRAM ID:

CCA-104

PROGRAM STRUCTURE NO: 10010303

PROGRAM TITLE:

FINANCIAL INSTITUTION SERVICES

PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	FY 2011	RECOMMEND APPRN	CURRENT BIENNIUM	UM TOTALS RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	30.00* 2,595,530 368,509	*	30.00* 2,595,530 368,509	30.00* 2,595,530 368,509	* 171,609-	30.00* 2,423,921 368,509	5,191,060 737,018	5,019,451 737,018	*
TOTAL OPERATING COST	2,964,039	==========	2,964,039	2,964,039	171,609-	2,792,430	5,928,078	5,756,469	2.89-
BY MEANS OF FINANCING SPECIAL FUND	30.00* 2,964,039	*	30.00* 2,964,039	,	* 171,609-	30.00* 2,792,430	* 5,928,078	5,756,469	: *
TOTAL POSITIONS TOTAL PROGRAM COST	30.00* 2,964,039	*	30.00* 2,964,039		* 171,609-	30.00* 2,792,430 =========	5,928,078 ====================================	5,756,469 	2.89-

Program ID: CCA-104

Program Structure Level: 10 01 03 03

Program Title: FINANCIAL INSTITUTION SERVICES

A. Program Objective

To ensure the safety and soundness of state-chartered and state-licensed financial institutions by fairly administering applicable statutes and rules in order to protect the rights and funds of depositors, borrowers, consumers, and other members of the community, and to supervise escrow depositories and money transmitters.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

Program size is expected to increase significantly since it is estimated that approximately 2,000 mortgage loan originators will be seeking a license in FY 2011.

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PROGRAM ID:

CCA-105

PROGRAM STRUCTURE NO: 10010304

PROGRAM TITLE:

PROFESSIONAL & VOCATIONAL LICENSING

		FY 2010			FY 2011		BIENNIU	JM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	58.00* 5,237,344 2,339,162	*	58.00* 5,237,344 2,339,162	58.00* 5,237,344 2,319,162	-1.00* 412,339- 46,181	57.00* 4,825,005 2,365,343	* 10,474,688 4,658,324	10,062,349 4,704,505	 : *
TOTAL OPERATING COST	7,576,506		7,576,506	7,556,506	366,158-	7,190,348	15,133,012	14,766,854	2.42-
BY MEANS OF FINANCING						·			
	53.00*	*	53.00*	53.00*	-1.00*	52.00*	*	*	*
SPECIAL FUND	5,495,195		5,495,195	5,495,195	314,691-	5,180,504	10,990,390	10,675,699	
	5.00*	*	5.00*¦	5.00*	*	5.00*	*	*	*
TRUST FUNDS	2,081,311		2,081,311	2,061,311	51,467-	2,009,844 ¦	4,142,622	4,091,155	
TOTAL POSITIONS	58.00*	*	58.00*¦	58.00*	-1.00*	57.00*¦			
TOTAL PROGRAM COST	7,576,506		7,576,506	7,556,506	366,158-	7,190,348	15,133,012	14,766,854	2.42-

Program ID: CCA-105

Program Structure Level: 10 01 03 04

Program Title: PROFESSIONAL AND VOCATIONAL LICENSING

A. Program Objective

To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity, and dependability by establishing and enforcing appropriate service standards; and to regulate activities for the protection, welfare and safety of the participants as well as the public.

B. Description of Request

- (1) MOF B. Increase ceiling to cover services provided by the Department of Accounting and General Services (DAGS), Information and Communication Services Division (ICSD). (Compliance Resolution Fund)
- (2) MOF B. Reduce 1.00 permanent position and funds. (Compliance Resolution Fund)
- (3) MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)
- (4) MOF T. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Real Estate Education Fund, Condominium Education Trust Fund).

C. Reasons for Request

This request consists of increasing the special fund ceiling to cover the salary of an Information Technology Specialist (ITS) IV at the DAGS, ICSD, Client Services Branch. In FY 2010 the Department of Commerce and Consumer Affairs (DCCA), Professional & Vocational Licensing Division (PVLD), was notified of the impending loss of information technology specialist positions due to layoff proceedings. The PVLD deemed such loss would detrimentally affect its mission-critical applications and licensing system ("ALIAS") and the daily support services it

- needs from ICSD. Therefore, as of FY 2010, PVLD amended its existing Memorandum of Agreement with DAGS/ISCD to increase the yearly reimbursement subsidy amount from \$147,000 a year to \$177,787. This request increases the DAGS/ICSD reimbursement to \$193,181 for FY 2011.
- (2) The position was abolished effective 7/15/09 in the Human Resources Management System (HRMS). As such, this request is an item to reflect the corresponding abolishment of the position in the FY 2011 budget.
- (3 & 4) The requests consist of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

The furloughs may impact measures of effectiveness, delaying the processing time standard currently at 10-12 business days, for the issuance of new licenses and renewals.

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PROGRAM ID:

CCA-106

PROGRAM STRUCTURE NO: 10010306

PROGRAM TITLE:

INSURANCE REGULATORY SERVICES

		FY 2010			FY 2011		BIENNI	UM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	81.00* 6,715,671 7,361,387	*	81.00* 6,715,671 7,361,387	81.00* 6,715,671 7,361,387	* 476,433-	81.00* 6,239,238 7,361,387	13,431,342 14,722,774	** 12,954,909 14,722,774	*
TOTAL OPERATING COST	14,077,058		14,077,058	14,077,058	476,433-	13,600,625	28,154,116	27,677,683	1.69-
BY MEANS OF FINANCING									
SPECIAL FUND TRUST FUNDS	81.00* 13,877,058 200,000	*	81.00* 13,877,058 200,000	81.00* 13,877,058 200,000	* 476,433-	81.00* 13,400,625 200,000	27,754,116 400,000	27,277,683 400,000	*
TOTAL POSITIONS TOTAL PROGRAM COST	81.00* 14,077,058	*	81.00* 14,077,058 =======	81.00* 14,077,058	* 476,433- 	81.00* 13,600,625 	28,154,116	27,677,683 	1.69-

Program ID: CCA-106

Program Structure Level: 10 01 03 06

Program Title: INSURANCE REGULATORY SERVICES

A. Program Objective

To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund, Captive Insurance Administrative Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

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PROGRAM ID:

CCA-

PROGRAM STRUCTURE NO: 100104

PROGRAM TITLE:

ENFORCEMENT OF FAIR BUSINESS PRACTICES

		FY 2010			FY 2011		BIENNIU	JM TOTALS	
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	150.00* 11,446,053 2,468,155	*	150.00* 11,446,053 2,468,155	150.00* 11,446,053 2,468,155	-1.00* 875,069-	149.00* 10,570,984 2,468,155	22,892,106 4,936,310	22,017,037 4,936,310	*
TOTAL OPERATING COST	13,914,208	==========	13,914,208	13,914,208	875,069-	13,039,139	27,828,416	26,953,347	3.14-
BY MEANS OF FINANCING				•					
SPECIAL FUND TRUST FUNDS	150.00* 13,863,527 50,681	*	150.00* 13,863,527 50,681	13,863,527	-1.00* 875,069-	149.00*¦ 12,988,458 ¦ 50,681 ¦	* 27,727,054 101,362	26,851,985 101,362	*
TOTAL POSITIONS TOTAL PROGRAM COST	150.00* 13,914,208	*	150.00* 13,914,208 ==========	150.00* 13,914,208	-1.00* 875,069-	149.00* 13,039,139 	27,828,416 ====================================	26,953,347 	3.14-

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PROGRAM ID:

CCA-110

PROGRAM STRUCTURE NO: 10010401

OFFICE OF CONSUMER PROTECTION PROGRAM TITLE:

	FY 2010			FY 2011			BIENNIUM TOTALS		
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	14.00* 1,371,930 252,591	*	14.00* 1,371,930 252,591	14.00* 1,371,930 252,591	* 112,462-	14.00* 1,259,468 252,591	2,743,860 505,182	2,631,398 505,182	* *
TOTAL OPERATING COST	1,624,521		1,624,521	1,624,521	112,462-	1,512,059	3,249,042	3,136,580	3.46-
BY MEANS OF FINANCING	14.00		44 00.1						
SPECIAL FUND TRUST FUNDS	14.00* 1,573,840 50,681	*	14.00* 1,573,840 50,681	14.00* 1,573,840 50,681	* 112,462-	14.00* 1,461,378 50,681	3,147,680 101,362	3,035,218 101,362	*
TOTAL POSITIONS TOTAL PROGRAM COST	14.00* 1,624,521	*	14.00* 1,624,521	14.00* 1,624,521	* 112,462-	14.00* 1,512,059 =======================	3,249,042	3,136,580	3.46-

Program ID: CCA-110

Program Structure Level: 10 01 04 01

Program Title: OFFICE OF CONSUMER PROTECTION

A. Program Objective

To protect the public interest by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and assisting in educating the public and businesses concerning their respective legal rights and obligations in the marketplace.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

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PROGRAM ID:

CCA-111

PROGRAM STRUCTURE NO: 10010403

PROGRAM TITLE:

BUSINESS REGISTRATION & SECURITIES REGULATN

	FY 2010			FY 2011			! BIENNIUM TOTALS		
PROGRAM COSTS	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
OPERATING PERSONAL SERVICES OTH CURRENT EXPENSES	71.00* 5,192,296 1,517,555	*	71.00* 5,192,296 1,517,555	71.00* 5,192,296 1,517,555	-1.00* 414,541-	70.00* 4,777,755 1,517,555	* 10,384,592 3,035,110	9,970,051 3,035,110	 k *
TOTAL OPERATING COST	6,709,851		6,709,851	6,709,851	414,541-	6,295,310	13,419,702	13,005,161	3.09-
BY MEANS OF FINANCING						·			
SPECIAL FUND	71.00* 6,709,851	*	71.00*¦ 6,709,851 ¦	71.00* 6,709,851	-1.00* 414,541-	70.00* 6,295,310	* 13,419,702	13,005,161	* *
TOTAL POSITIONS TOTAL PROGRAM COST	71.00* 6,709,851 ====================================	*	71.00* 6,709,851 ========	71.00* 6,709,851	-1.00* 414,541-	70.00* 6,295,310	13,419,702	13,005,161	3.09-

Program ID: CCA-111

Program Structure Level: 10 01 04 03

Program Title: BUSINESS REGISTRATION AND SECURITIES REGULATION

A. Program Objective

To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, and service marks; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.

B. Description of Request

- (1) MOF B. Reduce 1.00 permanent position and funds. (Compliance Resolution Fund)
- (2) MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

- (1) The position was abolished effective 7/15/09 in the Human Resources Management System (HRMS). As such, this request is an item to reflect the corresponding abolishment of the position in the FY 2011 budget.
- (2) The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

The furloughs may impact measures of effectiveness, delaying registration application and securities application review times as well as decreasing the number of applications, examinations and enforcement cases that can be completed in the year.

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PROGRAM ID:

PROGRAM TITLE:

CCA-112

PROGRAM STRUCTURE NO: 10010404

REGULATED INDUSTRIES COMPLAINTS OFFICE

	FY 2010		FY 2011			BIENNIUM TOTALS		
CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
65.00* 4,881,827 698,009	*	65.00* 4,881,827 698,009	65.00* 4,881,827 698,009	* 348,066-	65.00* 4,533,761 698,009	9,763,654 1,396,018	* 9,415,588 1,396,018	*
5,579,836		5,579,836	5,579,836	348,066-	5,231,770	11,159,672	10,811,606	3.12-
65.00* 5,579,836	*			* 348,066-	65.00*¦ 5,231,770 ¦	* 11,159,672	* 10,811,606	*
65.00* 5,579,836	*	65.00* 5,579,836 =========	65.00* 5,579,836	* 348,066- ===================================	65.00* 5,231,770 	11,159,672	10,811,606	3.12-
	APPRN 65.00* 4,881,827 698,009 5,579,836 65.00* 5,579,836	CURRENT APPRN ADJUSTMENT 65.00* 4,881,827 698,009 5,579,836 65.00* * 65.00* * 65.00* *	CURRENT APPRN ADJUSTMENT APPRN 65.00* * 65.00* 4,881,827 4,881,827 698,009 698,009 5,579,836 5,579,836 65.00* * 65.00* 5,579,836 5,579,836	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN APPRN 65.00* * 65.00* 65.00* 65.00* 65.00* 698,009 5,579,836 5,579,836 5,579,836 65.00* * 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00*	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN ADJUSTMENT 65.00* * 65.00* 65.00* * 4,881,827 4,881,827 4,881,827 348,066- 698,009 698,009 698,009 698,009 5,579,836 5,579,836 5,579,836 348,066- 65.00* * 65.00* * * 65.00* * 65.00* 348,066- 65.00* * 65.00* * *	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN APPRN ADJUSTMENT APPRN 65.00* * 65.00* 65.00* * 65.00* 4,881,827 348,066- 4,533,761 698,009 698,009 5,579,836 5,579,836 5,579,836 348,066- 5,231,770 65.00* * 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00* 65.00*	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND APPRN R	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND ADJUSTMENT CURRENT APPRN RECOMMEND BIENNIUM RECOMMEND BIENNIUM 65.00* * 65.00* * 65.00* *

Program ID: CCA-112

Program Structure Level: 10 01 04 04

Program Title: REGULATED INDUSTRIES COMPLAINTS OFFICE

A. Program Objective

To assist the general public by providing consumer education outreach activities, investigating complaints from the public and enforcing the licensing laws.

B. Description of Request

MOF B. Reduce ceiling to reflect Personal Services adjustment due to furlough. (Compliance Resolution Fund)

C. Reasons for Request

The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-07 & 09-08.

D. Significant Changes to Measures of Effectiveness and Program Size

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PROGRAM ID:

CCA-191

PROGRAM STRUCTURE NO: 100105

PROGRAM TITLE:

GENERAL SUPPORT

FY 2010			FY 2011			BIENNIUM TOTALS		
CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT APPRN	ADJUSTMENT	RECOMMEND APPRN	CURRENT BIENNIUM	RECOMMEND BIENNIUM	PERCENT CHANGE
46.00* 4,415,564 1,537,896	*	46.00* 4,415,564 1,537,896	45.00* 4,372,204 1,537,896	-2.00* 466,716- 200,000	43.00* 3,905,488 1,737,896	* 8,787,768 3,075,792	** 8,321,052 3,275,792	*
5,953,460		5,953,460	5,910,100	266,716- ====================================	5,643,384	11,863,560	11,596,844	2.25-
46.00* 5,953,460	*	46.00* 5,953,460	45.00* 5,910,100	-2.00* 266,716-	43.00* 5,643,384	* 11,863,560	* 11,596,844	*
46.00* 5,953,460	*	46.00* 5,953,460	45.00* 5,910,100	-2.00* 266.716-	43.00*¦ 5.643.384 ¦	11.863.560	11,596,844	2.25-
	46.00* 4,415,564 1,537,896 	CURRENT APPRN 46.00* 4,415,564 1,537,896 5,953,460 46.00* 5,953,460 46.00* * 46.00*	CURRENT ADJUSTMENT RECOMMEND APPRN 46.00* * 46.00* 4,415,564 1,537,896 1,537,896 5,953,460 5,953,460 46.00* * 46.00* 5,953,460 46.00* * 46.00* 5,953,460	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN 46.00* * 46.00* 45.00* 4,415,564 4,415,564 4,372,204 1,537,896 1,537,896 1,537,896 5,953,460 5,953,460 5,910,100 46.00* * 46.00* 45.00* 5,953,460 5,953,460 5,910,100	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN ADJUSTMENT 46.00* * 46.00* 45.00* -2.00* 4,415,564 4,415,564 4,372,204 466,716- 1,537,896 1,537,896 200,000 5,953,460 5,953,460 5,910,100 266,716- 46.00* * 46.00* 45.00* -2.00* 5,953,460 5,953,460 5,910,100 266,716-	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND APPRN APPRN ABDUSTMENT ABDUS	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND APPRN CURRENT APPRN RECOMMEND APPRN CURRENT APPRN RECOMMEND BIENNIUM 46.00* * 46.00* 45.00* -2.00* 43.00* * 4,415,564 4,415,564 4,372,204 466,716- 3,905,488 8,787,768 1,537,896 1,537,896 200,000 1,737,896 3,075,792 5,953,460 5,953,460 5,910,100 266,716- 5,643,384 11,863,560 46.00* * 46.00* 45.00* -2.00* 43.00* * 5,953,460 5,953,460 5,910,100 266,716- 5,643,384 11,863,560 46.00* * 46.00* 5,953,460 5,910,100 266,716- 5,643,384 11,863,560	CURRENT APPRN ADJUSTMENT RECOMMEND APPRN CURRENT APPRN RECOMMEND ADJUSTMENT RECOMMEND APPRN CURRENT RECOMMEND BIENNIUM RECOMMEND BIENNIUM 46.00* * 46.00* 45.00* -2.00* 43.00* * * * 4,415,564 4,415,564 4,372,204 466,716- 3,905,488 8,787,768 8,321,052 1,537,896 1,537,896 200,000 1,737,896 3,075,792 3,275,792 5,953,460 5,953,460 5,910,100 266,716- 5,643,384 11,863,560 11,596,844 46.00* * 45.00* -2.00* 43.00* * * 46.00* * 5,953,460 5,910,100 266,716- 5,643,384 11,863,560 11,596,844

Program ID: CCA-191

Program Structure Level: 10 01 05 Program Title: GENERAL SUPPORT

A. Program Objective

To uphold fairness and public confidence in the marketplace, promote sound consumer practices, and increase knowledge, opportunity, and justice in our community by enhancing program effectiveness and efficiency.

B. Description of Request

- (1) MOF B. Increase ceiling to cover legal services provided by the Department of Attorney General (AG). (Compliance Resolution Fund)
- (2) MOF B. Reduce 1.00 permanent position and funds relating to the Small Business Regulatory Review Board (SBRRB). (Compliance Resolution Fund)
- (3) MOF B. Reduce 1.00 permanent position and funds. (Compliance Resolution Fund)
- (4) MOF B. Reduce Personal Services ceiling to reflect furlough. (Compliance Resolution Fund)
- (5) MOF B. Reduce salaries for the Director and Deputy Director. (Compliance Resolution Fund)

C. Reasons for Request

- (1) This request consists of increasing the special fund ceiling to cover legal services and litigation support as may be required by the Department of Commerce and Consumer Affairs (DCCA).
- (2) Senate Bill 387, SD1, HD1, CD2 (2009 Leg Session) included the transfer of the SBRRB from the Department of Business, Economic Development & Tourism (DBEDT) to the DCCA. The bill was vetoed, and the veto was not overridden. However, Act 162, SLH 2009 (budget Act) added the position and special funds

- to reflect the transfer. As the SBRRB was not statutorily transferred to DCCA, this request deletes the position count and special funds associated with SBRRB.
- (3) The position was abolished effective 7/15/09 in the Human Resources Management System (HRMS). As such, this request is an item to reflect the corresponding abolishment of the position in the FY 2011 budget.
- (4) The request consists of reducing Personal Services in FY 2011 to reflect two furlough days each month based on filled positions as of 10/01/09 for affected employees covered under collective bargaining (HGEA), Executive Order Numbers 09-06, 09-07, & 09-08.
- (5) This request reduces the salaries for the Director and Deputy Director to reflect the five percent pay reduction per Act 85, SLH 2009.

D. Significant Changes to Measures of Effectiveness and Program Size